

# Encounter Data System User Group

*August 28, 2012*

# Agenda

- Introduction
- Session Guidelines
- PACE Data Submission Requirements
- PACE Testing/Certification Timeline
- Front-End Certification
- EDPS Certification
- Questions and Responses
- Resources
- Closing Remarks

# Introduction

The purpose of this session is to provide Program for All-Inclusive Care for the Elderly (PACE) organizations with information regarding policy and operational guidance for submission of testing and production data to the Encounter Data System (EDS)

# Session Guidelines

- This is a one (1) hour Encounter Data User Group for PACE organizations and Third Party Submitters that submit encounter data on behalf of PACE organizations
- If time allows, we will respond to questions

# PACE Submission Requirements

# PACE Submission Requirements

- For 2013, CMS will collect data from PACE organizations for services for which claims are generated or for which encounter data is otherwise available
- This includes all encounters collected on a Professional or Institutional claim form, regardless of whether the claim was received by the PACE organization in paper or electronic format
- PACE organizations are not required to submit encounters for services provided to PACE participants by PACE staff, to the extent encounter data related to the service is not available
- PACE organizations are required to submit all non-Medicaid services, regardless of the provider type

# PACE Submission Requirements

- CMS will provide further guidance on Home Health and Skilled Nursing Facilities to all MAOs and other entities in the near future
- CMS anticipates that 2013 encounter data submission will include Home Health and SNF data
- CMS is currently creating requirements to accommodate Part B only beneficiaries

# PACE

## Testing/Certification Timeline

Tested System	Testing Begins	Testing Requirements	Testing Ends/Deadline for Certification
Front-End	8/30/12	25 – 50 unique encounters per file per Submitter ID	10/30/12
<u>Institutional</u> Encounter Testing	9/1/12	9 Test Cases (2 encounters per test case)	11/15/12
<u>Professional</u> Encounter Testing	11/16/12	14 Test Cases (2 encounters per test case)	12/31/12
<u>DME</u> Encounter Testing	1/1/13	6 Test Cases (2 encounters per test case)	2/28/13

Encounter Data

User

Group

Tuesday, August 28, 2012

3:00 P.M. – 4:00 P.M. ET



# PACE

## Testing/Certification Timeline

- Entities submitting on behalf of PACE organizations that have already received front-end and end-to-end certification are not required to recertify for PACE encounter data submission
- PACE organizations are required to be end-to-end certified and begin submission of production data no later than March 1, 2013
  - Production data should be submitted for dates of service beginning January 1, 2013

# PACE Front-End Testing

# PACE Front-End Testing

- Prior to front-end testing, all PACE organizations and Third Party Submitters (TPS) that have not previously certified through EDFES must complete an EDI agreement for each contract and TPS
  - The EDI agreement and application submission requirements can be found at [www.csscooperations.com](http://www.csscooperations.com)
- Once the EDI agreement is received and processed, CSSC will send a secure email containing the PACE organization or TPS' submitter ID
- PACE organizations may submit test files upon receipt of the Submitter ID

# PACE Front-End Testing

- The purpose of PACE encounter data front-end testing is to validate the 5010 (837-I and 837-P) transmission X12 file format
- The 837 transmission files will be processed by the Translator, which will perform file structure and Implementation Guide (IG) edits
- Valid encounters will process through Encounter Data Front-End System (EDFES) CEM level edits
- The EDS Companion Guides provides EDS specific operational guidance

# PACE Front-End

## Testing/Certification Requirements

- Naming convention for front-end test files:

Connectivity Method	Naming Convention
<b>GENTRAN</b>	GUID.RACF.EDS.FREQ.CCCCC.FUTURE.T
<b>NDM</b>	MAB.PROD.NDM.EDST.TEST.ENXXXXX (+1)
<b>FTP</b>	User defined

- To achieve front-end certification, two (2) files containing 25-50 unique encounters per file, must be submitted through the EDFES
  - One (1) 837 Institutional file
  - One (1) 837 Professional file
- The 837-I and 837-P encounters must contain valid format values and 2012 dates of services only

# PACE Front-End

## Testing/Certification Requirements

- PACE organizations will receive acknowledgement reports identifying the status of the files submitted

Acknowledgment Report	Acknowledgement Description
TA1	Received when an error occurs within the ISA/IEA
999R	Received when errors occur at the functional groups/transactions sets
999P	Received when errors occur that do not prevent the processing of the submitted file
999A	Received when there are no errors at the functional groups/transaction sets
277CA	Received for each encounter that passes 999 editing and will indicate acceptance of the encounter through the front-end

- 277CA reflecting “accept” for all encounters submitted within the file must be received in order to achieve front-end certification

# PACE

## End-to-End Testing

# PACE End-to-End Testing

- PACE organizations must be front-end certified in order to submit end-to-end test cases for Institutional, Professional, and DME encounter data
- PACE organizations must achieve a 95% acceptance rate on all required test cases and receive notification of certification for end-to-end testing in order to submit production data



# PACE End-to-End Testing

- PACE organizations must use the designated test cases to submit end-to-end test files
- The test case specifications include:
  - Test Case Purpose
  - Prerequisite Conditions
  - Test Procedures
  - Assumptions and Constraints
- A total of 29 test cases will be required:
  - Institutional (9 test cases)
  - Professional (14 test cases)
  - DME (6 test cases)

# PACE End-to-End Testing

- The PACE Institutional, Professional, and DME Test Case Specification documents will be posted on the CSSC Operations website
- Test Case Specifications should be used in conjunction with Business Case Scenarios, which are found in the EDS Companion Guides, also found on the CSSC Operations website

## Institutional Testing Guidance

- CMS requires that Institutional test cases be submitted in two (2) separate files
- Only the defined test cases should be submitted

### File 1

- 2012 DOS only
- Test cases that **do not** require linking (8 test cases)
- TC indicator in Loop 2300, CLM01 (e.g., CLM01=TC01)
- 2 encounters per test case - a total of 16 encounters in file 1
- *The initial file containing anything other than the 16 defined encounters will be returned without processing*

**File 1 must be completely accepted before submitting file 2**

### File 2

- 2012 DOS only
- Duplicate test case
- TC indicator in Loop 2300, CLM01 (e.g., CLM01=TC09)
- 2 encounters per test case - a total of 2 encounters in file 2

# PACE Test Cases - Institutional

Test Case #	Test Case / Script Title	Test Case / Script Identifier	TC File #
TC01	New MA Member	Beneficiary Eligibility	1
TC02	Capitated Provider	Data Validation	1
TC03	Atypical Provider	Data Validation	1
TC04	Ambulance	Data Validation	1
TC05	Coordination of Benefits	Data Validation	1
TC06	Hospital Outpatient	Data Validation	1
TC07	Hospital Inpatient	Data Validation	1
TC08	Hospital Rehab	Data Validation	1
TC09	Duplicate	Data Validation	2

- **Note:** Submitters must exclude the following Type of Bill (TOB) codes:
  - Swing Bed: 18X
  - Skilled Nursing Facility (SNF): TOB 210-280 and 21A-28Z
  - Home Health: TOB 320-349 and 32A-34Z
  - Hospice: TOB 810-829 and 81A-82Z
- CMS will provide further guidance for these TOBs

# Professional Testing Guidance

- CMS requires that **Professional** test cases to be submitted in two (2) separate files
- Only the defined test cases should be submitted

## File 1

- 2012 DOS only
- Test cases that **do not** require linking (10 test cases)
- TC indicator in Loop 2300, CLM01 (e.g., CLM01=TC01)
- 2 encounters per test case - a total of 20 encounters in file 1
- *The initial file containing anything other than the 20 defined encounters will be returned without processing*

***File 1 must be completely accepted before submitting file 2***

## File 2

- 2012 DOS only
- Test cases that do require linking (4 test cases)
- TC indicator in Loop 2300, CLM01 (e.g., CLM01=TC10)
- 2 encounters per test case - a total of 8 encounters in file 2

# PACE Test Cases - Professional

Test Case #	Test Case / Script Title	Test Case / Script Identifier	TC File #
TC01	Standard MA Member	Beneficiary Eligibility	1
TC02	Atypical Provider Submission	Data Validation	1
TC03	Capitated Provider Submission	Data Validation	1
TC04	Ambulance TOS Submission	Data Validation	1
TC05	Coordination of Benefits	Data Validation	1
TC06	Correct/Replace	Encounter File	2
TC07	Void/Delete	Encounter File	2
TC08	Chart Review – Linked	Encounter File	2
TC09	Chart Review – Unlinked	Encounter File	1

# PACE Test Cases - Professional

Test Case #	Test Case / Script Title	Test Case / Script Identifier	TC File #
TC10	Duplicate	Encounter File	2
TC11	Bundled Payment	Encounter File	1
TC12	Paper Generated	Encounter File	1
TC13	Zip Code +4	Encounter File	1
TC14	Diagnoses Included in Model Diagnosis Codes	Risk Adjustment	1

# PACE - DME Testing Guidance

CMS requires that DME test cases be submitted in three (3) separate files

## File 1

- 2012 DOS only
- Test cases that **do not** require linking (4 test cases – 8 encounters)
- TC indicator in Loop 2300, CLM01 (e.g., CLM01=TC01)
- *If this file contains less than or greater than the eight (8) encounters defined in the Test Case Specifications document, the file will be returned without processing*

**File 1 and File 2 may be submitted simultaneously**

## File 2

- 2012 DOS only
- “Incident To” Physician Services test case only (1 test case – 2 encounters)
- TC indicator in Loop 2300, CLM01 (e.g., CLM01=DMETC02)
- *If this file contains less than or greater than the two (2) encounters defined in the Test Case Specifications document, the file will be returned without processing*

**File 1 and File 2 must be completely accepted before submitting File 3**

## File 3

- 2012 DOS only
- Duplicate test case only (1 test case – 2 encounters)
- TC indicator in Loop 2300, CLM01 (e.g., CLM01=TC06)
- Submit using a duplicate of TC01, TC03, TC04, or TC05 (File 1 test cases)
- *If this file contains less than or greater than the two (2) encounters defined in the Test Case Specifications document, the file will be returned without processing*



# PACE Test Cases - DME

Test Case #	Test Case / Script Title	Test Case / Script Identifier	TC File #
TC01	DMEPOS	Data Validation	1
DMETC02	DME Incident to Services	Data Validation	2
TC03	Purchased DME	Pricing	1
TC04	Capped Rental	Pricing	1
TC05	Oxygen	Pricing	1
TC06	Duplicate	Processing	3

# PACE Next Steps

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- CMS will continue discussions regarding PACE centered submission requirements for 2014

# Questions & Answers

# Resources

# Resources

## PC-ACE Pro32 User's Manual

- PC-ACE Pro32 is available to assist PACE Organizations with the transfer of paper claims data to the 5010 format
- The PC-ACE Pro32 User's Manual specific to encounter data submissions is:

[http://www.palmettogba.com/Palmetto/Providers.nsf/files/EDI\\_PCACE\\_Pro32\\_Man.pdf/\\$File/EDI\\_PCACE\\_Pro32\\_Man.pdf](http://www.palmettogba.com/Palmetto/Providers.nsf/files/EDI_PCACE_Pro32_Man.pdf/$File/EDI_PCACE_Pro32_Man.pdf)

- Provides step-by-step instructions to access, download, install and utilize the PC-ACE Pro32 software
- Questions regarding access to and use of PC-ACE Pro32 may be submitted to CSSC Operations at (877) 534-2772 or [csscoperations@palmettogba.com](mailto:csscoperations@palmettogba.com)

# Resources

- CSSC Operations:  
<http://www.csscoperations.com/internet/cssc3.nsf/docsCatHome/CSSC%20Operations>
- Encounter Data Outreach Registration:  
[www.tarsc.info](http://www.tarsc.info)
- CMS: [www.cms.gov](http://www.cms.gov)
- EDS Inbox: [eds@ardx.net](mailto:eds@ardx.net)

# Resources *(cont'd)*

- PACE Manual:  
<http://www.cms.gov/PACE/Downloads/R1SO.pdf>
- X12 Version 5010 Standards:  
<https://www.cms.gov/Regulations-and-Guidance/HIPAA-Administrative-Simplification/Versions5010andD0/index.html?redirect=/Versions5010andD0/>
- CEM/CEDI Technical Reporting Formats:  
[http://www.cms.gov/MFFS5010D0/20\\_TechnicalDocumentation.asp](http://www.cms.gov/MFFS5010D0/20_TechnicalDocumentation.asp)
- Washington Publishing Company:  
<http://www.wpc-edi.com/content/view/817/1>



# User Group Dates

## REMINDER:

- User Group - **Thursday, August 30, 2012** from 3:00 PM – 4:00 PM EST.

**September 2012** – Please refer to [www.tarsc.info](http://www.tarsc.info) for the 2012 – 2013 User Group schedule.

# Closing Remarks